

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No. 81762/311	
		First Inventor Liam Holmes et al.	
		Title A PLATE LOCKING SYSTEM FOR MATING ELECTRICAL CONNECTORS AND METHODS THEREOF	
		Express Mail Label No. EV138588235US	
APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>		Director of the United States Patent and Trademark Office ADDRESS TO: P.O. Box 1450 Alexandria, Virginia 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i> 3. <input checked="" type="checkbox"/> Specification <i>[Total Pages 12]</i> <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications (<i>if applicable</i>) - Statement Regarding Fed sponsored R & D (<i>if applicable</i>) - Reference to sequence listing, a table, or a computer program listing appendix (<i>if applicable</i>) - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) <i>(35 U.S.C. 113)</i> <i>[Total Sheets 5]</i> 5. Oath or Declaration <i>[Total Pages]</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Unsigned c. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies 	
ACCOMPANYING APPLICATION PARTS			
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(If foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other: _____			
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____ / _____ Prior application information: Examiner _____ Group / Art Unit: _____			
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
19. CORRESPONDENCE ADDRESS			
<input type="checkbox"/> Customer Number or Bar Code Label		or <input checked="" type="checkbox"/> Correspondence address below	
Name Nixon Peabody LLP Clinton Square, P.O. Box 31051			
Address Clinton Square, P.O. Box 31051			
City Rochester		State NY	Zip Code 14603-1051
Country USA		Telephone (585) 263-1014	Fax (585) 263-1600
Name (Print/Type) Gunnar G. Leinberg		Registration No. (Attorney/Agent) 35,584	
Signature <i>Gunnar G. Leinberg</i>		Date	August 19, 2003

03940 U.S.P.T.O.
 10/644102
 08/19/03



FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **(\$)** 375

<i>Complete if Known</i>	
Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Liam Holmes et al.
Examiner Name	To Be Assigned
Art Unit	To Be Assigned
Attorney Docket No.	81762/311

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																																																																																																																																																																																																																																																																																											
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ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th colspan="2">Fee Description</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td colspan="2">Surcharge - late filing fee or oath</td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td colspan="2">Surcharge - late provisional filing fee or cover sheet</td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td colspan="2">Non-English specification</td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td colspan="2">For filing a request for <i>ex parte</i> reexamination</td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td colspan="2">Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td colspan="2">Requesting publication of SIR after Examiner action</td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td colspan="2">Extension for reply within first month</td></tr> <tr><td>1252</td><td>410</td><td>2252</td><td>205</td><td colspan="2">Extension for reply within second month</td></tr> <tr><td>1253</td><td>930</td><td>2253</td><td>465</td><td colspan="2">Extension for reply within third month</td></tr> <tr><td>1254</td><td>1,450</td><td>2254</td><td>725</td><td colspan="2">Extension for reply within fourth month</td></tr> <tr><td>1255</td><td>1,970</td><td>2255</td><td>985</td><td colspan="2">Extension for reply within fifth month</td></tr> <tr><td>1401</td><td>320</td><td>2401</td><td>160</td><td colspan="2">Notice of Appeal</td></tr> <tr><td>1402</td><td>320</td><td>2402</td><td>160</td><td colspan="2">Filing a brief in support of an appeal</td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td>140</td><td colspan="2">Request for oral hearing</td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td colspan="2">Petition to institute a public use proceeding</td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td colspan="2">Petition to revive - unavoidable</td></tr> <tr><td>1453</td><td>1,300</td><td>2453</td><td>650</td><td colspan="2">Petition to revive - unintentional</td></tr> <tr><td>1501</td><td>1,300</td><td>2501</td><td>650</td><td colspan="2">Utility issue fee (or reissue)</td></tr> <tr><td>1502</td><td>470</td><td>2502</td><td>235</td><td colspan="2">Design issue fee</td></tr> <tr><td>1503</td><td>630</td><td>2503</td><td>315</td><td colspan="2">Plant issue fee</td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td colspan="2">Petitions to the Commissioner</td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td colspan="2">Processing fee under 37 CFR 1.17(q)</td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td colspan="2">Submission of Information Disclosure Stmt</td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td colspan="2">Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>1809</td><td>750</td><td>2809</td><td>375</td><td colspan="2">Filing a submission after final rejection (37 CFR 1.129(a))</td></tr> <tr><td>1810</td><td>750</td><td>2810</td><td>375</td><td colspan="2">For each additional invention to be examined (37 CFR 1.129(b))</td></tr> <tr><td>1801</td><td>750</td><td>2801</td><td>375</td><td colspan="2">Request for Continued Examination (RCE)</td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td colspan="2">Request for expedited examination of a design application</td></tr> <tr> <td>Total Claims</td> <td>16</td> <td>-20** =</td> <td>0</td> <td>X</td> <td>9</td> <td>=</td> <td>0</td> </tr> <tr> <td>Independent Claims</td> <td>3</td> <td>-3** =</td> <td>0</td> <td>X</td> <td>42</td> <td>=</td> <td>0</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td></td> <td>X</td> <td></td> <td>=</td> <td>0</td> </tr> <tr> <td>Large Entity Fee Code (\$)</td> <td>Small Entity Fee Code (\$)</td> <td colspan="6">Fee Description</td> </tr> <tr> <td>1202 18</td> <td>2202 9</td> <td colspan="6">Claims in excess of 20</td> </tr> <tr> <td>1201 84</td> <td>2201 42</td> <td colspan="6">Independent claims in excess of 3</td> </tr> <tr> <td>1203 280</td> <td>2203 140</td> <td colspan="6">Multiple dependent claim, if not paid</td> </tr> <tr> <td>1204 84</td> <td>2204 42</td> <td colspan="6">** Reissue independent claims over original patent</td> </tr> <tr> <td>1205 18</td> <td>2205 9</td> <td colspan="6">** Reissue claims in excess of 20 and over original patent</td> </tr> <tr> <td colspan="8">SUBTOTAL (2) (\$) 0</td> </tr> <tr> <td colspan="8">**or number previously paid, if greater; 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Box 1450, Alexandria, VA 22313-1450, or being facsimile transmitted to the USPTO at _____, on _____.</td> </tr> <tr> <td colspan="8">Signature: _____</td> </tr> <tr> <td colspan="8">Name: _____</td> </tr> </tbody> </table>				Large Entity	Small Entity	Fee Description		Fee Code	Fee (\$)	Fee Code	Fee (\$)	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	410	2252	205	Extension for reply within second month		1253	930	2253	465	Extension for reply within third month		1254	1,450	2254	725	Extension for reply within fourth month		1255	1,970	2255	985	Extension for reply within fifth month		1401	320	2401	160	Notice of Appeal		1402	320	2402	160	Filing a brief in support of an appeal		1403	280	2403	140	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,300	2453	650	Petition to revive - unintentional		1501	1,300	2501	650	Utility issue fee (or reissue)		1502	470	2502	235	Design issue fee		1503	630	2503	315	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		1806	180	1806	180	Submission of Information Disclosure Stmt		8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))		1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))		1801	750	2801	375	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application		Total Claims	16	-20** =	0	X	9	=	0	Independent Claims	3	-3** =	0	X	42	=	0	Multiple Dependent				X		=	0	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description						1202 18	2202 9	Claims in excess of 20						1201 84	2201 42	Independent claims in excess of 3						1203 280	2203 140	Multiple dependent claim, if not paid						1204 84	2204 42	** Reissue independent claims over original patent						1205 18	2205 9	** Reissue claims in excess of 20 and over original patent						SUBTOTAL (2) (\$) 0								**or number previously paid, if greater; For Reissues, see above								*Reduced by Basic Filing Fee Paid								SUBTOTAL (3) (\$) 0								CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a))								I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to Mail Stop _____, Commissioner for Patents, P.O. 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SUBMITTED BY						<i>Complete (if applicable)</i>	
Name (Print/Type)	Gunnar G. Leinberg	Registration No. (Attorney/Agent)	35,584	Telephone	(585) 263-1014		
Signature	<i>Gunnar G. Leinberg</i>			Date	August 19, 2003		

EXPRESS MAIL CERTIFICATE

DOCKET NO. : **81762/311**

APPLICANTS : **Liam Holmes and Martin O'Donnell**

TITLE : **A PLATE LOCKING SYSTEM FOR MATING ELECTRICAL
CONNECTORS AND METHODS THEREOF**

Certificate is attached to the **Utility Patent Application Transmittal Letter**
(1 page) in duplicate and Fee Transmittal Letter (1 page) in duplicate of the
above-named application.

“EXPRESS MAIL” NUMBER: **EV138588235US**

DATE OF DEPOSIT: **August 19, 2003**

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